



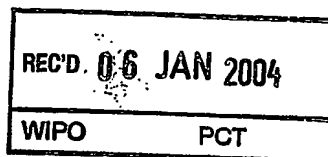
Reg'd PCT/PTO 25 MAY 2005
PCT/GB 2003/000000

#2



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ



I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

H. Behen

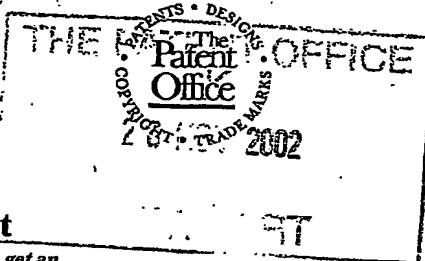
Dated 23 October 2003

**PRIORITY
DOCUMENT**

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

THE PATENT OFFICE
Patents Act 1977
(Rule 16)

28 NOV 2002



28NOV02 E766885-1 D01886
P01/7700 0 00-0227715.0

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference

RD 442

2. Patent application number

(The Patent Office will fill in this part)

0227715.0

28 NOV 2002

3. Full name, address and postcode of the or of each applicant (underline all surnames)

British American Tobacco (Investments) Limited
Globe House
1 Water Street
London WC2R 3LA
07515778003

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

England & Wales

4. Title of the invention

Smoking Behaviour Analyser

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Margot Ruth WALFORD
Patents Department
British American Tobacco
R&D Centre
Regents Park Road
Southampton SO15 8TL

Patents ADP number (if you know it)

07515778004

6. If you are declaring priority from one or more earlier patent applications, give the country, and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)

Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if

YES

- a) any applicant named in part 3 is not an inventor, or
 - b) there is an inventor who is not named as an applicant, or
 - c) any named applicant is a corporate body.
- See note (d))

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description

7

Claim(s)

Abstract

Drawing(s)

3 + 3 21

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature *Mr Reiner Diederich*

Date 26.11.02

12. Name and daytime telephone number of person to contact in the United Kingdom

Mr Reiner Diederich

02380 793731

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

Smoking Behaviour Analyser

This invention relates to the measurement and recording of the smoking behaviour of a consumer, particularly but not exclusively, cigarette smokers, and includes real-time smoke delivery measurement.

Various instruments have been produced which attempt to allow measurement of human smoking behaviour. For example, the Portable Smoking Topography Measurement Device by Plowshare Technologies is a device that records smoking behaviour of a subject in the field when smoking a smoking article using the device. The device records all smoking events for up to 4 weeks, which events can be subsequently downloaded (using associated software) to a computer. However, the recorded information (puff volume, duration, inter-puff interval, peak flow during puffs, time of peak flow, mean flow during puff, puff number) is limited in its usage. It does not, for example, include a real-time measurement of smoke delivery. The delivery of the individual cigarette smoked cannot be determined and can only be assumed from mathematical estimates based on the smoker's puff volumes and times or by duplication of the smoking session. Duplication involves smoking identical cigarettes on a special smoking machine that can reproduce the puffing behaviour of a smoker from the record of his smoking session. During duplication, the cigarettes smoked are attached to a conventional smoke-trapping device (such as a Cambridge Filter). The trapped smoke is analysed to assess the total smoke yield of the cigarette in the same way as the yield of a cigarette under standard machine smoking conditions is obtained. It is therefore necessary with the Plowshare device to re-smoke in a laboratory an identical cigarette (i.e. duplicate) in order to obtain the total cigarette yield of certain components, which is time-consuming. Only at this stage can an assessment be obtained of the smoke delivery obtained by the smoker. In addition, the smoking article used in duplication is not identical to that smoked initially, hence the smoke deliveries obtained by duplication may not be reliable.

As used herein, 'delivery' is the amount of a smoke component delivered to a smoker, whereas 'yield' is the amount of smoke component delivered to a smoking machine.

A similar smoking behaviour device of the company SODIM also requires the use of a smoking duplicator in a laboratory to reproduce the smoker's smoking behaviour in order to obtain smoke delivery measurements.

Previous smoking behaviour devices, such as those described by Roger Jenkins in 1990 have attempted to measure smoke concentration by light reflection whereby a light emitter and

light detector have been located on the same side of a housing containing smoke. The density of the smoke has been determined by measuring the amount of light reflected by smoke particles back into the light detector. This 'light scattering' methodology has not given reliable results because only smoke density nearest the detector is measured, not the average density across the whole smoke path. The present invention represents a significant improvement over this methodology. Another disadvantage of the reflection method is that the brightness of the light emitter cannot be checked or set to a known level thus making calibration unreliable. The present invention makes it easy to set the brightness of the light emitter.

There is thus a need in the smoking behaviour analyser field to provide a device, advantageously a portable device, that not only measures puffing behaviour but also provides real-time measurement of at least one smoke component with improved accuracy and negates the need to use a smoking duplicator machine. It is an object of the invention to provide such a device.

It is also an object of the invention to provide real-time visual displays of various smoking behaviour measurements carried out by the device.

It is a further object to provide in addition a record of the smoking behaviour of a consumer.

The present invention provides a smoking behaviour analyser comprising:

smoking article mounting means, by which a smoking article can be mounted at a mouth end thereof, the mounting means comprising a mouthpiece which, when holding a smoking article, is in fluid-flow communication with the mouth end of the smoking article;

fluid flow pressure drop detection means and smoke density detection means;

signal conversion means operable to convert signals obtained from the fluid flow pressure drop detection means and smoke density detection means into data;

data processing means operable to process data, the data processing means comprising a processor operable to process a calculation of a delivery value of particulate phase smoke components from a smoking article when mounted by the mounting means and being smoked via the mouthpiece;

and display means operable to display processed data in graphical and/or numerical form.

It should be noted that this invention does not cover the embodiments described in our co-pending application, International Patent Application No. PCT/GB02/02629, namely the measurement, conversion and transmission of data at the mounting means, separate from processing thereof at a remote location, unless there is additionally processing of the converted

data at the mounting means prior to transmission to display means, which is not contemplated in that co-pending application.

Preferably the fluid flow pressure drop detection means comprises two openings, in the mounting means one located at either side of an orifice plate. The openings are connected to pressure sensors, such as pressure transducers. Advantageously the pressure sensors are located in data acquisition means or data processing means. Alternatively the pressure sensors are located in the mounting means. Suitable pressure transducers are those such as SenSym SCX 01DM or the like.

Preferably the fluid flow pressure drop detection means is operable to take two pressure measurements; one being the difference in pressure between atmospheric pressure and that within the holder (the pressure drop through the smoking article) and the other being the pressure difference between either side of the orifice plate, this pressure difference being proportional to the flow through the orifice.

Preferably the smoke density detection means comprises a light emitter and a light receiver. Advantageously the light emitter is a device, such as an LED, which emits light at visible or other wavelengths, e.g. infra-red. Advantageously the light detector is a device, such as a photodiode, selected for optimum performance at the wavelength of the emitted light. Preferably the light emitter and light receiver are located opposite to one another, within the mounting means. The distance between the emitter and receiver is advantageously between 2-6mm, and is usually about 4mm.

Preferably the smoke analyser is portable. By 'portable' is meant that the analyser, whether by its individual parts or not, is carryable by hand.

Preferably in one aspect of the invention the signal conversion means is located distant the mounting means. The signal conversion means may suitably be located in unit with data processing means. Alternatively, signal conversion means is located separately from data processing means.

Data processing means may additionally comprise data acquisition means. Signal conversion means may be located in data acquisition means. Preferably data processing means and data display means are located in unit with one another.

In an alternative aspect of the invention, signal conversion and data processing occur in unit with the mounting means.

Storage means may also be provided in unit with the mounting means or alternatively be located separately therefrom.

The data processing means is preferably a computer, with a processor, the computer being loaded with a suitable programme. The data processing means preferably communicates bi-directionally with the source of data, which may be either the mounting means, signal conversion means or the data acquisition device, and carries out the necessary calculations to determine the required smoking behaviour information and smoke deliveries. Preferably, for ease of portability, the data processing means is a laptop computer.

Preferably the data processing means also comprises the display means.

Advantageously the display means gives real-time information about each puff. Preferably the puff information includes one or more of puff volume, puff shape, puff duration, smoke concentration, smoke mass per unit time, optical density, mean pressure drop, effort and time period. Much by preference is the display of one or more of this data in graphical form individually for each puff taken by the smoker.

Preferably the puffing profile and associated data is retained by the processing means for further examination, if required.

Advantageously the processing means is programmed to reset acquisition of data between every smoke and zeroes the fluid pressure drop detection means and smoke density detection means.

It is conceivable that the signals derived from the fluid-pressure drop detection means and smoke density detection means may be transferred to the data processing device in a conductorless fashion, for example, by electromagnetic wave means. However, the transfer can also be conveniently accomplished using electrical leads for the optical signals and flexible tubing for the pressure measurements.

In order that the subject invention may be clearly understood and readily carried into effect, reference will now be made, by way of example, to the accompanying drawings, in which:-

Figure 1 shows a block diagram depiction of a smoking behaviour analyser,

Figure 2 shows a display screen of a smoking behaviour analyser in accordance with the invention, and

Figure 3 shows a further embodiment of the invention.

The smoking behaviour analyser of Figure 1 comprises a smoking article mounting assembly 1 and a data processing and display assembly 2. The data processing and display assembly 2 is connected to the smoking article assembly 2 by a pair of flexible tubes 3 and electrical leads 3', each lead comprising two wires.

The cigarette mounting assembly 1 comprises a housing 4, at one end of which is secured a hollow sleeve 5 and at the opposite end of which is secured a mouthpiece holder 6. A cigarette

(7) can be mounted in the sleeve 5 and a disposable mouthpiece (8) can be attached to the holder 6. With such a cigarette and such a mouthpiece, the mouthpiece is in fluid-flow communication with the cigarette via the hollow interior of the housing 4, such that the cigarette may be smoked via the mouthpiece.

Mounted within the housing 4 is fluid-flow pressure drop detection means comprising an orifice plate 9 and, one to each side of the orifice plate 9, are two openings 10 and 11 connected to pressure transducers. Also mounted within the housing 4 is smoke density detection means comprising a light emitter 12, in this instance a light emitting diode and, opposed to the transmitter, a light receiver 13, in this instance being a photodiode.

The data processing and display assembly 2 is operable to receive pressure measurements obtained from voltages given by pressure transducers located within data acquisition means 14, which transducers are connected to openings 10 and 11 by flexible tubes. The data acquisition means 14 also receives an electrical signal, such as a voltage, from the light receiver 13. In this instance, signal conversion occurs in the data acquisition means. The data acquisition means also transmits data derived from the conversion of these pressure measurements and light related signals to data processing means 15, in this embodiment a lap top computer.

Processing means 15 produces, or includes, a real time delivery of the particulate phase components of mainstream smoke (known as 'tar') from the cigarette which is mounted in the sleeve 5 of the housing 4 and is being smoked via a mouthpiece (8) mounted in the holder 6 of the housing 4.

The obnubilation effected by the mainstream smoke on the light emitted by the light emitter 12, which obnubilation is registered by way of the light receiver 13, provides a means of determining the instantaneous density values of the smoke, i.e. the concentration therein of the particulate phase components. This is referred to as the optical density of the smoke.

The determination of the density values by the processor is achieved by using a calibration curve obtained from the data of standard cigarettes with known smoke yields at certain concentrations.

Processed data, such as, for example, the real-time values of 'tar', can be displayed by display means 16, in this instance the display screen of the lap-top computer.

In use, the data acquisition means 14 reads the pressure and flow data obtained from the pressure transducers 10, 11 and the light extinction (or optical density) between the light emitter 12 and the light receiver 13 over a particular time period, in this instance 25 times per second, and transmits these values to the processing means 15.

Processing means 15 takes the flow readings and converts them to puff volumes, puff shapes and durations for each puff taken. The processing means 15 also takes the light extinction readings and converts those readings to smoke concentrations. The processing means 15 then combines the smoke flow and smoke concentrations to calculate puff by puff deliveries of 'tar' and also the total 'tar' value for each cigarette smoked by the consumer.

The smoking analyser of this invention is also able to display these calculated yields in graphical form for each puff or for a total puff number. In addition, any of the puff volume, puff shape (flow versus time), puff duration, optical density, mean pressure drop, effort and time period can be represented numerically or graphically on the display (see, for example, Figure 2). The user is thus provided with a visual record of a consumer's real-time puffing behaviour and/or delivery profile. The smoker's profile is also retained by the processing means.

In another embodiment (not shown), the display means may be separate from the data processing means.

In a second aspect of the invention shown in Figure 3 there is a smoking article mounting assembly 1. The mounting assembly comprises all the features associated with the mounting assembly described in Figure 1 within the housing 4. However, in addition, located in unit (and adjacent with) the housing 4 is signal conversion means and data processing means 17. Data processing means 17 is operable to calculate the particulate smoke delivery.

Advantageously the mounting assembly 1 is in connection with data storage means 18, the storage means being located distant from the mounting assembly. Preferably data storage means comprises input means to allow a user to input smoker details to each smoker record. Data storage and input means in the first embodiment may be provided by the processor and keyboard of the computer. Data storage is also advantageously provided in the first embodiment.

The mounting means assembly is provided with means 19, such as a button, to reset the processing means 17 after each smoking event.

Furthermore, the signals obtained from the fluid pressure drop detection means and smoke density detection means may, if desired, be transferred to the data acquisition device in a conductorless (or wire-less) fashion.

Particular advantages of this invention include the ability to provide a particulate smoke yield of a cigarette extremely quickly, without the need for chemical analysis, using a smoking machine. In addition, it is often desirable to obtain a puff by puff yield of a smoking article, as this is of interest to product developers, for example.

7
The present invention can also be connected to a smoking machine and used to measure the puff volume, puff duration and puff profile, thereby ensuring correct performance and consistency of measurement.

The invention can also be used to provide a real-time estimate of total and puff-by-puff yields of a smoke component from smoking articles when smoked on a smoking machine.

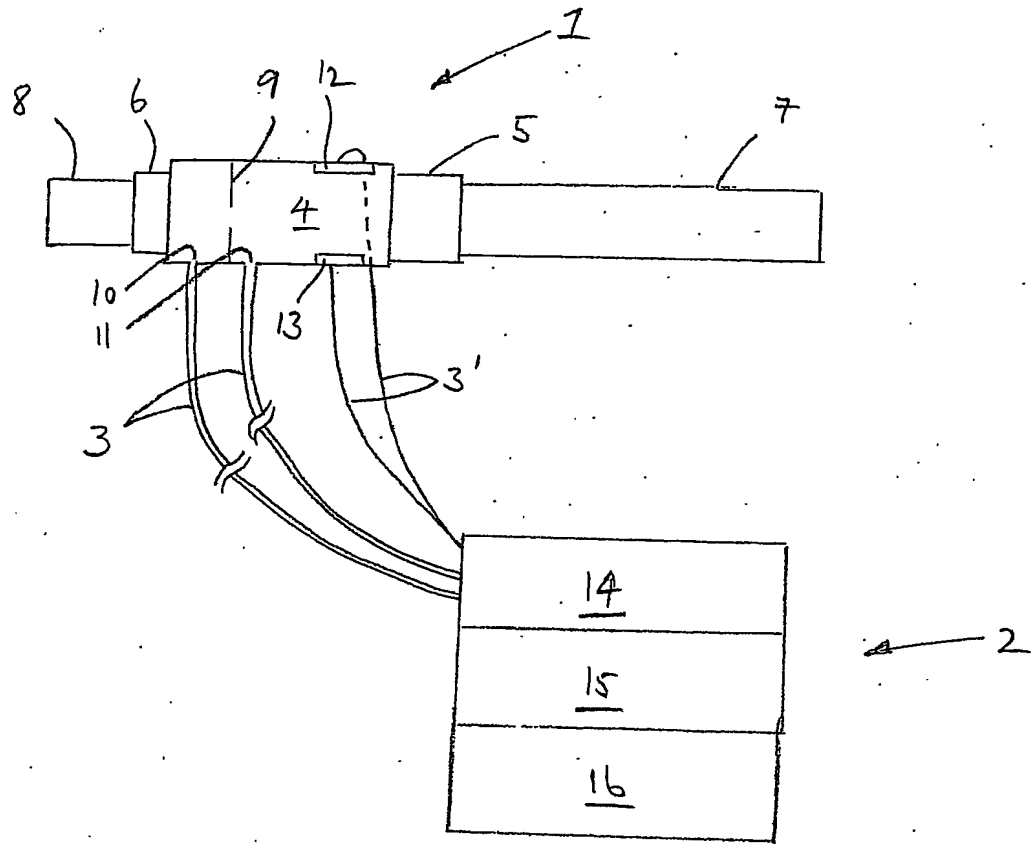
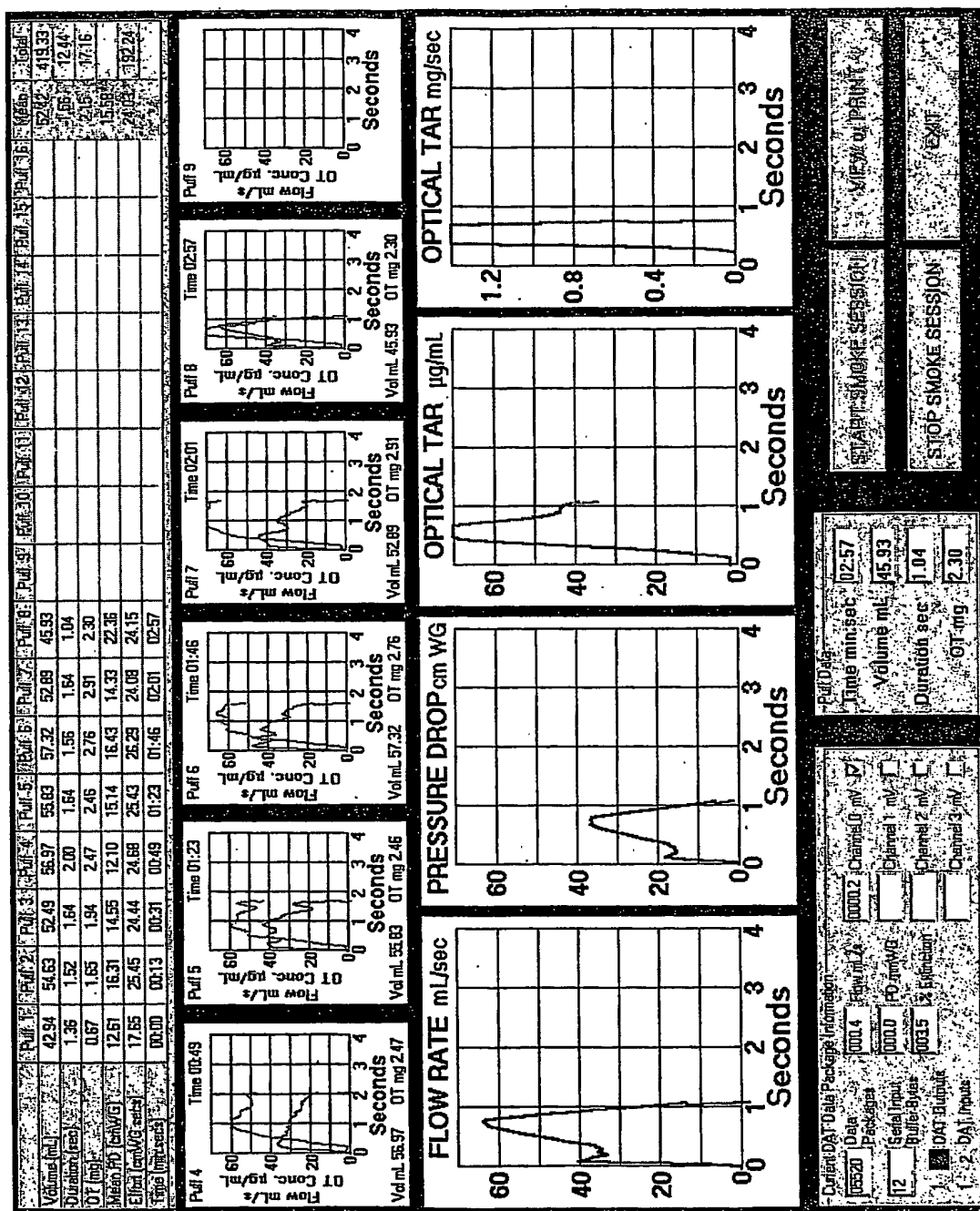


Figure 1

Figure 2



3/3

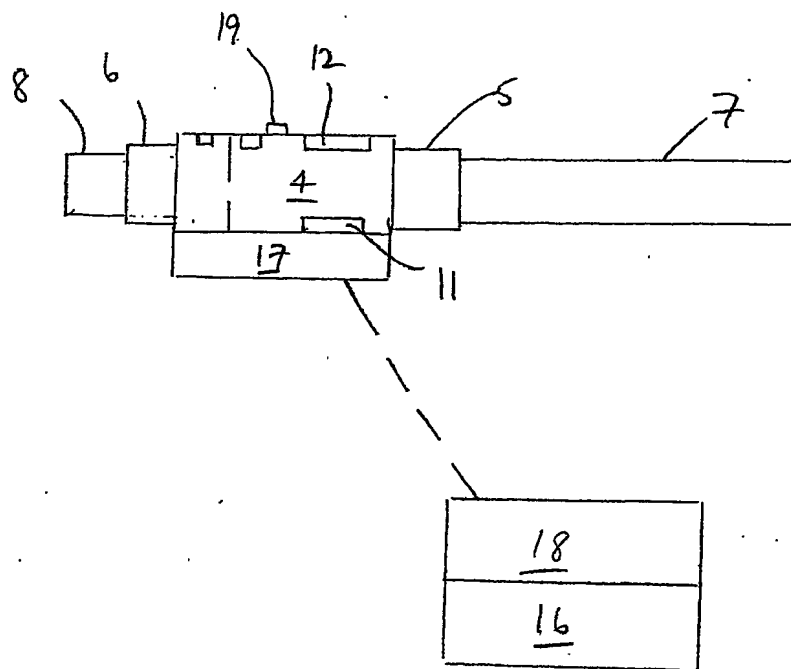


Figure 3.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☒ **BLACK BORDERS**

☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**

☒ **FADED TEXT OR DRAWING**

☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**

☐ **SKEWED/SLANTED IMAGES**

☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**

☐ **GRAY SCALE DOCUMENTS**

☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**

☒ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**

☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.